








Appendix One: Audit Status, Opinions and Capacity to Improve (where assessed)

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Childrens Services			
Troubled Families Validation of Performance Data 2017/18	Final	Moderate ●	Medium
Early Help 2017/18	Final	Moderate ●	Medium
Children Missing from Home or Care 2017/18	Final	Moderate ●	Medium
MASH follow up 2017/18	Final	Limited ●	Medium
Early Years 30 Hours Funding	Final	Limited ●	Not Set
Troubled Families Follow Up	Final	Not applicable	
Risk Management (Children's)	Planning	Set at draft	Set at final
Supervisions and Management			
Assessed and Supported Year in Employment (AYSE) compliance			
Planning for Permanence			
Protect / Complex Safeguarding			
Getting to Good Plan	Not started		
Education, Skills and Schools			
Penalty Notices for Unauthorised Absence (Schools)	Draft	Moderate ●	Set at final
Thematic School Audit: Procurement	Fieldwork Complete	Set at draft	
Thematic School Audit: Cash	Fieldwork In progress		
Special Educational Needs	Not Started		
Off Rolling of Pupils			

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve				
Annual Schools Financial Value Standard (SFVS) Return 2019							
Adults							
Manchester Health and Care Commissioning: Governance Arrangements 2017/18	Draft	Moderate 	Set at final				
Manchester Health and Care Commissioning: Operational Plan 2017/18	Final	Substantial 	Not set				
Support to MHCC and LCO Assurance Framework Development	Fieldwork: Ongoing Advice and Guidance						
Management Oversight and Supervision	Fieldwork Started	Set at Draft	Set at Final				
Mental Health Casework Compliance							
Mental Health Panels	Planning			Set at Draft	Set at Final		
Transitions: Follow Up							
Risk Management (Adults)							
Adult Social Care – Resource Allocation System and Panel Decision Making	Not Started					Set at Draft	Set at Final
Supported Accommodation Quality Assurance Framework: Follow Up							
Client Financial Services: Pre Paid Cards							
Quality Assurance Framework							
Homelessness Reduction Act compliance							
Manchester Service for Independent Living (MSIL)							
Local Care Organisation: Delivery Assurance							
Adults Social Care Panels (RAS)							
MHCC Financial Framework Compliance							
MHCC Financial Sustainability Plan Delivery							

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve	
Core				
IR35 Compliance – Advice & Guidance 2017/18	Final	Not Set		
Disclosure & Barring Service 2017/18	Final	Moderate 	N/A	
Grant Certifications - Greater Manchester Pension Fund	Final	Certified 	N/A	
Payroll Continuous Auditing – Quarter One	Final	Not Set		
Core Systems - Payments (Purchase Cards)	Final	Moderate 	High	
Grant Certifications – Arts Council Factory Project 2017/18	Final	Not Set		
Grant Certifications - Growth Deal	Final			
Grant Certifications - Carbon Reduction Commitment	Final			
Grant Certifications - URBACT III (Claim Q2)	Final			
Payroll Continuous Auditing - Q2	Draft	Set at draft Set at final		
Overtime: Compliance	Fieldwork Started			
Our Town Hall: Decant and Disposal (Portable Assets)	Fieldwork Started			
Housing Revenue Account - Financial Administration	Planning			
Core Finance Systems - Payments (SAP) BACS				
Risk Management (Core)				
Core Finance Systems - Revenue Budget Monitoring	Not Started			
Core Finance Systems - Benefits and Risk Based Verification				
Payroll Continuous Auditing - Q3				
Payroll Continuous Auditing - Q4				
Our Manchester Grants - Outcome Monitoring				

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Our Manchester - Performance Management Framework			
Annual Governance Statement			
Recruitment and Selection			
Capital Strategy			
Our Town Hall (Block)			
Grant Certifications - URBACT III (Claim Q4)			
Grant Certifications - Revolving Investments in Cities of Europe			
ICT and Information			
Liquidlogic – Data Migration & Testing (Phase 1) 2017/18	Final	Moderate ●	Not set
Application Audit – One System 2017/18	Final	Moderate ●	High
Application Audit – SAP 2017/18	Final	Moderate ●	Medium
Going Google 2017/18	Final	Position Statement	
PSN Code of Connection	Fieldwork started	Set at Draft	Set at Final
Liquidlogic and ContrOCC (Data Migration and User Acceptance Testing - system finalisation phase)	Planning		
Cyber Security			
Application Audit - GSuite			
GDPR - Post Implementation Compliance Review	Not Started		
Data Retention and Disposal			
Data Centre Replacement			
Mobile Connections: Follow Up			
Software Licensing: Follow Up	Deferred to 2019/20		
Growth and Neighbourhoods			

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Retail Market Income 2017/18	Final	Moderate 	High
Our Town Hall – Governance	Final	Position Statement	
Our Town Hall – Security	Final	Substantial 	Not Set
Risk Management (G&N)	Planning	Set at draft	Set at final
Leisure Contract Performance Management	Not Started		
Strategic Development			
Income and Debt Management: Investment Estate 2017/18	Final	Moderate 	High
Grant Certification – Disabled Facilities Grant	Final	Certified	Not Set
Risk Management (Strategic Dvt)	Planning	Set at draft	Set at final
Strategic Development Capital Project Health Checks and Payments	Not Started		
Northwards Programme and Project Health Check			
Highways			
Highways Pothole and Patching 2017/18	Final	Moderate 	Medium
Grants Certification - Cycle City	Certified	Not Set	
Grants Certification - Highways LTP Capital Maintenance			
Highways Capital - Project Health Checks and Payments	Not Started	Set at draft	Set at final
Highways Revenue Contracts - Award of Work/Monitoring Payments			
Grant Certification - Highways Maintenance Efficiency Grant			
Grants Certification - Highways Maintenance Grant (DFT)			
Grants Certification - Highways Local Pinch Point			
Procurement, Commissioning and Contracts			

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Contract Management – HROD	Final	Moderate ●	High
Contract Management – Galleries	Final	Moderate ●	High
Multi Links Commissioning – Advice and Guidance	Final	Not Set	
Contractor Insurance Arrangements	Final	Limited ●	Medium
Contractor Whistleblowing Arrangements	Final	Limited ●	Medium
Factory Project: Governance Arrangements	Fieldwork	Moderate ●	High
Homecare Contract Follow Up	Fieldwork	Set at draft	Set at final
Corporate Contract Development Assurance and Advice	Fieldwork	Ongoing Advice and Guidance	
Assurance Mapping (Contracts)	Fieldwork	Set at draft	Set at final
Frameworks Contract Governance (including taxi framework)	Fieldwork		
Contract Management – Adults Services	Planning		
Social Value	Planning		
Procurement Fraud: Spend Review	Planning		
Contract Management – Children’s Services	Not Started		
Public Contracts Regulations Compliance	Q1 19/18	Proposed defer	