Appendix One: Audit Status, Opinions and Capacity to Improve (where assessed)

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Childrens Services Troubled Families Validation of Performance Data 2017/18	Final	Moderate e	Medium
Early Help 2017/18	Final	Moderate	Medium
Children Missing from Home or Care 2017/18	Final	Moderate	Medium
MASH follow up 2017/18	Final	Limited	Medium
Early Years 30 Hours Funding	Final	Limited	Not Set
Troubled Families Follow Up	Final	Not applicable	
Risk Management (Children's)			
Supervisions and Management	Planning		
Assessed and Supported Year in Employment (AYSE) compliance		Set at draft	Set at final
Planning for Permanence			IIIai
Protect / Complex Safeguarding	Not started		
Getting to Good Plan	-		
Education, Skills and Schools			
Penalty Notices for Unauthorised Absence (Schools)	Draft	Moderate	
Thematic School Audit: Procurement	Fieldwork Complete		Set at
Thematic School Audit: Cash	Fieldwork In progress	Set at draft	final
Special Educational Needs	Not Started		
Off Rolling of Pupils			

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Annual Schools Financial Value Standard (SFVS) Return 2019			
Adults			
Manchester Health and Care Commissioning: Governance Arrangements 2017/18	Draft	Moderate	Set at final
Manchester Health and Care Commissioning: Operational Plan 2017/18	Final	Substantial	Not set
Support to MHCC and LCO Assurance Framework Development	Fieldwork:	Ongoing Advic Guidance	e and
Management Oversight and Supervision Mental Health Casework Compliance	Fieldwork Started		
Mental Health Panels	Planning		
Transitions: Follow Up			
Risk Management (Adults)			
Adult Social Care – Resource Allocation System and Panel Decision Making			
Supported Accommodation Quality Assurance Framework: Follow Up		Set at Draft	Set at
Client Financial Services: Pre Paid Cards		Set at Diait	Final
Quality Assurance Framework	Not Started		
Homelessness Reduction Act compliance			
Manchester Service for Independent Living (MSIL)			
Local Care Organisation: Delivery Assurance			
Adults Social Care Panels (RAS)			
MHCC Financial Framework Compliance			
MHCC Financial Sustainability Plan Delivery			

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Core			
IR35 Compliance – Advice & Guidance 2017/18	Final	Not S	et
Disclosure & Barring Service 2017/18	Final	Moderate	N/A
Grant Certifications - Greater Manchester Pension Fund	Final	Certified	N/A
Payroll Continuous Auditing – Quarter One	Final	Not S	Set
Core Systems - Payments (Purchase Cards)	Final	Moderate	High
Grant Certifications – Arts Council Factory Project 2017/18	Final		
Grant Certifications - Growth Deal	Final		
Grant Certifications - Carbon Reduction Commitment	Final	Not Set	
Grant Certifications - URBACT III (Claim Q2)	Final		
Payroll Continuous Auditing - Q2	Draft		Set at final
Overtime: Compliance	Fieldwork Started		
Our Town Hall: Decant and	Fieldwork		
Disposal (Portable Assets) Housing Revenue Account - Financial	Started		
Administration Core Finance Systems - Payments (SAP) BACS	Planning	Set at draft	
Risk Management (Core)			
Core Finance Systems - Revenue Budget Monitoring	Not Started		
Core Finance Systems - Benefits and Risk Based Verification			
Payroll Continuous Auditing - Q3			
Payroll Continuous Auditing - Q4			
Our Manchester Grants - Outcome Monitoring			

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Our Manchester - Performance Management Framework			
Annual Governance Statement			
Recruitment and Selection			
Capital Strategy			
Our Town Hall (Block)			
Grant Certifications - URBACT III (Claim Q4)			
Grant Certifications - Revolving Investments in Cities of Europe			
ICT and Information	11		
Liquidlogic – Data Migration & Testing (Phase 1) 2017/18	Final	Moderate	Not set
Application Audit – One System 2017/18	Final	Moderate	High
Application Audit – SAP 2017/18	Final	Moderate e	Medium
Going Google 2017/18	Final	Position Statement	
PSN Code of Connection	Fieldwork started		Set at Final
Liquidlogic and ContrOCC (Data Migration and User Acceptance Testing - system finalisation phase)		Set at Draft	
Cyber Security	Planning		
Application Audit - GSuite			
GDPR - Post Implementation Compliance Review	Not Started		
Data Retention and Disposal			
Data Centre Replacement			
Mobile Connections: Follow Up			
Software Licensing: Follow Up	Defe	rred to 2019/20)
Growth and Neighbourhoods	·		

Retail Market Income 2017/18FinalHighOur Town Hall – GovernanceFinalPosition StatementOur Town Hall – SecurityFinalSubstantial •Not SetRisk Management (G&N)PlanningSet at draftSet at finalLeisure Contract Performance ManagementNot StartedImage: Set at draftSet at finalIncome and Debt Management: Investment Estate 2017/18FinalModerate •HighGrant Certification – Disabled Facilities GrantFinalCertifiedNot SetRisk Management (Strategic Dvt)PlanningSet at draftSet at finalSet at finalStrategic Development Capital Project Health Checks and PaymentsNot StartedNot StartedSet at finalNorthwards Programme and Project Health CheckNot StartedNot StartedMediumGrants Certification - Cycle City Grants Certification - Highways LTP Capital MaintenanceFinalModerate •MediumHighways Capital - Project Health Checks and PaymentsNot StartedNot StartedNot SetHighways Capital - Project Health Checks an ApaymentsNot StartedNot StartedNot Set at draftHighways Capital - Project Health Checks an ApaymentsNot StartedNot StartedNot Set at draftHighways Capital - Project Health Checks an ApaymentsNot StartedNot StartedNot Set at draftHighways Capital - Project Health Checks an ApaymentsNot StartedSet at draftSet at finalGrants Certification - Highways	Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
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Grants Certification - Highways Local Pinch Point	Grants Certification - Highways Maintenance			
Procurement, Commissioning and Contracts	Grants Certification - Highways Local Pinch			
	Procurement, Commissioning and Contracts			

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Contract Management – HROD	Final	Moderate	High
Contract Management – Galleries	Final	Moderate	High
Multi Links Commissioning – Advice and Guidance	Final	Not S	et
Contractor Insurance Arrangements	Final	Limited	Medium
Contractor Whistleblowing Arrangements	Final	Limited	Medium
Factory Project: Governance Arrangements	Fieldwork	Moderate e	High
Homecare Contract Follow Up	Fieldwork	Set at draft	Set at final
Corporate Contract Development Assurance and Advice	Fieldwork	Ongoing Advice and Guidance	
Assurance Mapping (Contracts)	Fieldwork		Set at final
Frameworks Contract Governance (including taxi framework)	Fieldwork	Set at draft	
Contract Management – Adults Services	Planning		
Social Value	Planning		
Procurement Fraud: Spend Review	Planning		
Contract Management – Children's Services	Not Started		
Public Contracts Regulations Compliance	Q1 19/18	Proposed defer	